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# EPP Low Balance Mapping Guide

Version 1.1

May 23, 2004



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## Change Log

Author(s)	Date	Revision	Description
Mahendra Jain	03/26/2004	1.0	Initial Revision
James Gould	5/23/2004	1.1	Small editorial fixes including the EPP RFC reference.

## Definitions, Acronyms, and Abbreviations

Term	Description
EPP	Extensible Provisioning Protocol
IETF	<a href="http://www.ietf.org/">http://www.ietf.org/</a>
RFC	Request for Comments
SDK	Software Development Kit
VNDS	VeriSign Naming and Directory Services
XML	<a href="http://www.w3c.org/XML/">http://www.w3c.org/XML/</a>

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# 1. Abstract

This document describes an Extensible Provisioning Protocol (EPP) mapping for the Account Low Balance Notification. An account will be notified whenever the Available Credit for an account reaches or goes below the Credit Threshold via EPP poll.

## Conventions Used In This Document

The key words "MUST", "MUST NOT", "REQUIRED", "SHALL", "SHALL NOT", "SHOULD", "SHOULD NOT", "RECOMMENDED", "MAY", and "OPTIONAL" in this document are to be interpreted as described in [RFC2119].

In examples, "C:" represents lines sent by a protocol client and "S:" represents lines returned by a protocol server. Indentation in examples is provided only to illustrate element relationships and is not a REQUIRED feature of this protocol.

## 2. Introduction

This mapping is specified using the Extensible Markup Language (XML) 1.0 as described in [XML] and XML Schema notation as described in [XMLS-1] and [XMLS-2]. [EPP] provides a complete description of EPP command and response structures. A thorough understanding of the base protocol specification is necessary to understand the mapping described in this document.

XML is case sensitive. Unless stated otherwise, XML specifications and examples provided in this document MUST be interpreted in the character case presented to develop a conforming implementation.

## 3. EPP Command Mapping

A detailed description of the EPP syntax and semantics can be found in [EPP]. The command mappings described here are specifically for use in Account Low Balance Notification via EPP.

### 3.1 EPP <poll> Command

*NOTE: Text for this section was adapted from Extensible Provisioning Protocol IETF draft v0.9. Additional documentation of the <poll> command may be found in that document. This documentation is intended only to specify the information related to lowbalance specific poll messages.*

The EPP <poll> command is used to discover and retrieve service messages queued by a server for individual clients. If the message queue is not empty, a successful response to a <poll> command MUST return the first message from the message queue. Each response returned from the server includes a server-unique message identifier that MUST be provided to acknowledge receipt of the message, and a counter that indicates the number of messages in the queue.

After a message has been received by the client, the client MUST respond to the message with an explicit acknowledgement to confirm that the message has been received. A server MUST dequeue the message and decrement the queue counter after receiving acknowledgement from the client, making the next message in the queue (if any) available for retrieval.

The <poll> command MUST be represented as an empty element with no child elements. An "op" attribute with value "req" is REQUIRED to retrieve the first message from the server message queue. An "op" attribute (with value "ack") and a "msgID" attribute (whose value corresponds to the value of the "id" attribute copied from the <msg> element in the message being acknowledged) are REQUIRED to acknowledge receipt of a message.

Servers can occasionally perform actions on objects that are not in direct response to a client request, or an action taken by one client can indirectly involve a second client. Some of the information returned in response to a <poll> command can be object-specific, so some child elements of the <poll> response MAY be specified using the EPP extension framework.

For the LowBalance Notification, poll messages apply whenever the Available Credit for an account reaches or goes below Credit Threshold. In the case of a LowBalance specific message, a <lowbalance-poll:pollData> element will be included within the <resData> element of the standard <poll> response. The <lowbalance-poll:pollData> element will include a reference to the LowBalance namespace and schema location. EPP data contained within the <lowbalance-poll:pollData> element is formatted according to the lowbalance schema.

Example <poll> command:

```
C:<?xml version="1.0" encoding="UTF-8" standalone="no"?>
C:<epp xmlns="urn:ietf:params:xml:ns:epp-1.0"
C:   xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance"
C:   xsi:schemaLocation="urn:ietf:params:xml:ns:epp-1.0 epp-1.0.xsd">
C: <command>
C:   <poll op="req"/>
C:   <clTRID>ABC-12345</clTRID>
C: </command>
C:</epp>
```

The returned result code notes that a message has been returned in response to a <poll> command.

Below is an example <poll> response with Low Balance specific information. The element <lowbalance-poll:pollData > contains the lowbalance-specific data.

```
S:<?xml version="1.0" encoding="UTF-8" standalone="no"?>
S:<epp xmlns="urn:ietf:params:xml:ns:epp-1.0"
S:   xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance"
S:   xsi:schemaLocation="urn:ietf:params:xml:ns:epp-1.0 epp-1.0.xsd">
S: <response>
S:   <result code="1301">
S:     <msg>Command completed successfully; ack to dequeue</msg>
S:   </result>
S:   <msgQ count="1" id="12345">
S:     <qDate>2004-03-25T18:20:07.0078Z</qDate>
S:     <msg>Low Account Balance (SRS)</msg>
S:   </msgQ>
S:   <resData>
S:     <lowbalance-poll:pollData
S:       xmlns:lowbalance-poll=http://www.verisign.com/epp/lowbalance-poll-1.0
S:       xsi:schemaLocation="http://www.verisign.com/epp/lowbalance-poll-1.0
S:       lowbalance-poll-1.0.xsd">
S:       <lowbalance-poll:registrarName>Test Registrar</lowbalance-poll:registrarName>
S:       <lowbalance-poll:creditLimit>1000</lowbalance-poll:creditLimit>
S:       <lowbalance-poll:creditThreshold type="PERCENT">10</lowbalance-poll:creditThreshold>
S:       <lowbalance-poll:availableCredit>80</lowbalance-poll:availableCredit>
S:     </lowbalance-poll:pollData>
S:   </resData>
S:   <trID>
S:     <clTRID>ABC-12345</clTRID>
S:     <svTRID>54322-XYZ</svTRID>
S:   </trID>
S: </response>
```

S:</epp>

A Low Balance specific <pollData> element MUST consist of the following elements/attributes:

Element	Attribute	Description
<lowbalance-poll:registrarName>		The Account FullName reference as registrarName (String)
<lowbalance-poll:creditLimit>		The Credit Limit for the Account (String)
<lowbalance-poll:creditThreshold>		The lower limit for an account (String)
	type	The lower limit type for an account expressed as FIXED or as a PERCENT
<lowbalance-poll:availableCredit>		The Available Credit for the Account (String)

A client MUST acknowledge each response to dequeue the message and make subsequent messages available for retrieval. A client acknowledges messages by sending a <poll> command with the op attribute value of “ack” and the msgID attribute value set to the server-provided message id gleaned from the previous <poll> op command.

Example <poll> acknowledgement command:

```
C:<?xml version="1.0" encoding="UTF-8" standalone="no"?>
C:<epp xmlns="urn:ietf:params:xml:ns:epp-1.0"
C:  xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance"
C:  xsi:schemaLocation="urn:ietf:params:xml:ns:epp-1.0
C:  epp-1.0.xsd">
C: <command>
C:  <poll op="ack" msgID="12345"/>
C:  <clTRID>ABC-12346</clTRID>
C: </command>
C:</epp>
```

A <poll> acknowledgement response notes the number of messages remaining in the queue and the ID of the next message available for retrieval.

Example <poll> acknowledgement response:

```
S:<?xml version="1.0" encoding="UTF-8" standalone="no"?>
S:<epp xmlns="urn:ietf:params:xml:ns:epp-1.0"
S:  xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance"
S:  xsi:schemaLocation="urn:ietf:params:xml:ns:epp-1.0
S:  epp-1.0.xsd">
S: <response>
S:  <result code="1000">
S:  <msg>Command completed successfully</msg>
```

S: </result>  
S: <msgQ count="4" id="12346"/>  
S: <trID>  
S: <clTRID>ABC-12346</clTRID>  
S: <svTRID>54322-XYZ</svTRID>  
S: </trID>  
S: </response>  
S:</epp>

## 4. Formal Syntax

An EPP object mapping is specified in XML Schema notation. The formal syntax presented here is a complete schema representation of the object mapping suitable for automated validation of EPP XML instances.

```
<?xml version="1.0" encoding="UTF-8"?>
  <schema targetNamespace="http://www.verisign.com/epp/lowbalance-poll-1.0"
    xmlns:lowbalance-poll="http://www.verisign.com/epp/lowbalance-poll-1.0"
    xmlns:eppcom="urn:ietf:params:xml:ns:eppcom-1.0"
    xmlns="http://www.w3.org/2001/XMLSchema"
    elementFormDefault="qualified">

    <!-- Import common element types.-->
    <import namespace="urn:ietf:params:xml:ns:eppcom-1.0"
      schemaLocation="eppcom-1.0.xsd"/>

    <annotation>
      <documentation>
        Extensible Provisioning Protocol v1.0
        VeriSign poll notification specification for low balance notifications.
      </documentation>
    </annotation>

    <!-- Child elements found in EPP commands.-->
    <element name="pollData" type="lowbalance-poll:pollDataType"/>

    <!-- Child elements of the <notifyData> element for the low balance.-->
    <complexType name="pollDataType">
      <sequence>
        <element name="registrarName" type="eppcom:labelType"/>
        <element name="creditLimit" type="normalizedString"/>
        <element name="creditThreshold"
          type="lowbalance-poll:thresholdType"/>
        <element name="availableCredit" type="normalizedString"/>
      </sequence>
    </complexType>

    <complexType name="thresholdType">
      <simpleContent>
        <extension base="normalizedString">
          <attribute name="type"
            type="lowbalance-poll:thresholdValueType"
            use="required"/>
        </extension>
      </simpleContent>
    </complexType>

    <simpleType name="thresholdValueType">
      <restriction base="token">
        <enumeration value="FIXED"/>
        <enumeration value="PERCENT"/>
      </restriction>
    </simpleType>

    <!-- End of schema.-->
  </schema>
```

## 5. Internationalization Considerations

EPP is represented in XML, which provides native support for encoding information using the Unicode character set and its more compact representations including UTF-8. Compliant XML processors are **REQUIRED** to understand both UTF-8 and UTF-16. Though XML includes provisions to identify other character set encoding through use of an "encoding" attribute in an `<?xml?>` declaration, EPP use with character sets other than UTF-8 is **NOT RECOMMENDED**.

All date-time values presented via EPP **MUST** be expressed in Universal Coordinated Time using the Gregorian calendar. XML Schema allows use of time zone identifiers to indicate offsets from the zero meridian, but this option **MUST NOT** be used with EPP. The extended date-time form defined in [ISO8601] **MUST** be used to represent date-time values as XML Schema does not support truncated date-time forms.

## 6. URL

URL: <http://www.verisign-grs.com/epp/lowbalance-poll-1.0>

## 7. Security Considerations

The object mapping described in this document does not provide any security services or introduce any additional considerations beyond those described by [EPP] and protocol layers used by EPP.

## 8. Acknowledgements

## 9. References

[EPP] IETF RFC 3730: S. Hollenbeck: "Extensible Provisioning Protocol (EPP)", March 2004.

[ISO8601] ISO 8601:1988 (E): "Data elements and interchange formats - Information interchange - Representation of dates and times - The International Organization for Standardization".

[RFC2119] S. Bradner: "Key Words for Use in RFCs to Indicate Requirement Levels", BCP 14, RFC 2119, March 1997.

[XML] Editor T. Bray et al.: "Extensible Markup Language (XML) 1.0 (Second Edition)", W3C Recommendation 6 October 2000.

[XMLS-1] Editors H. Thompson et al.: "XML Schema Part 1: Structures", W3C Recommendation 2 May 2001.

[XMLS-2] Editors P. Biron, A. Malhotra: "XML Schema Part 2: Datatypes", W3C Recommendation 2 May 2001.